

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

CITY OF PROVIDENCE, RHODE ISLAND,  
Individually and on Behalf of All Others  
Similarly Situated,

Plaintiff,

vs.

BATS GLOBAL MARKETS, INC., BOX  
OPTIONS EXCHANGE LLC, CHICAGO  
BOARD OPTIONS EXCHANGE, INC.,  
CHICAGO STOCK EXCHANGE, INC., C2  
OPTIONS EXCHANGE, INC., DIRECT  
EDGE ECN, LLC, INTERNATIONAL  
SECURITIES EXCHANGE HOLDINGS,  
INC., THE NASDAQ STOCK MARKET  
LLC, NASDAQ OMX BX, INC., NASDAQ  
OMX PHLX, LLC, NATIONAL STOCK  
EXCHANGE, INC., NEW YORK STOCK  
EXCHANGE, LLC, NYSE ARCA, INC.,  
ONECHICAGO, LLC, BANK OF AMERICA  
CORPORATION, BARCLAYS PLC,  
CITIGROUP INC., CREDIT SUISSE GROUP  
AG, DEUTSCHE BANK AG, THE  
GOLDMAN SACHS GROUP, INC.,  
JPMORGAN CHASE & CO., MORGAN  
STANLEY & CO. LLC, UBS AG, THE  
CHARLES SCHWAB CORPORATION,  
E\*TRADE FINANCIAL CORPORATION,  
FMR, LLC, FIDELITY BROKERAGE  
SERVICES, LLC, SCOTTRADE  
FINANCIAL SERVICES, INC., TD  
AMERITRADE HOLDING  
CORPORATION, CITADEL LLC, DRW  
HOLDINGS, LLC, GTS SECURITIES, LLC,  
HUDSON RIVER TRADING LLC, JUMP  
TRADING, LLC, KCG HOLDINGS, INC.,  
QUANTLAB FINANCIAL LLC, TOWER  
RESEARCH CAPITAL LLC, TRADEBOT  
SYSTEMS, INC., TRADEWORX INC.,  
VIRTU FINANCIAL INC. and CHOPPER  
TRADING, LLC,

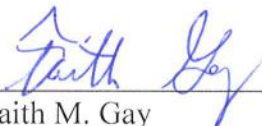
Defendants.

Case No. 1:14-cv-02811

**RULE 7.1 DISCLOSURE STATEMENT OF E\*TRADE FINANCIAL CORPORATION**

Pursuant to Federal Rule of Civil Procedure 7.1, Defendant E\*TRADE Financial Corporation ("E\*TRADE") states that (1) E\*TRADE has no parent corporation, and (2) no publicly held corporation owns 10% or more of E\*TRADE's stock.

Dated: May 27, 2014  
New York, NY

By:   
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